IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 404881.106357

Applicant:

Arnold Plonski

Serial No.:

Art Unit:

3695

10/747,754

Examiner:

Thomas M. Hammond III

Filed:

December 29, 200

For:

OPTION PREMIUM ENHANCED TOTAL RETURNS FROM A

PREDETERMINED INDEX OR ETF TYPE PORTFOLIO

TRANSMITTAL OF APPEAL BRIEF

Mail Stop Appeal Briefs – Patents Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Enclosed is a brief to the Board of Patent Appeals and Interferences. This Appeal Brief is being filed pursuant to the Notice of Appeal dated September 23, 2009 with an apparent Office filing date of September 28, 2009. A check for the Rule 17 fee for filing is enclosed herewith. Any other fees may be charged to Deposit Account 50-1145, Order No. 404881.106357.

Respectfully submitted,

Ronald E. Brown

Registration No. 32,200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450, on March 29, 2010

Ronald E. Brown

March 29, 2010

Date of Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to

Account No. 50-1145, Order No. 404881.106357.